Approved for use through 07/31/20uo. C....

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995. no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application Number 10/520,299

Filling Date 07/09.2003 N/A **Examiner Name** International D N/A (to be used for all correspondence after initial filing) Attorney Docket Number HYS-B2CIP/US Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Petition Under 37 CFR 1.182; Renewed Request for Refund **Express Abandonment Request** Petition Under 37 CFR 1.47(a); Return Postcard; Express Mail Label EV 741 236 CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Petition Fee Transmittal Under 37 CFR 1.17(h); Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Nuvelo, Inc. Signature Printed name Renee S. Polizotto, Ph.D Date Reg. No. 53,474 ,2006 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Melissa K. Sweezey Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FEE
Under 37 CFR 1.17(f), (g) & (h)
TRANSMITTAL

(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450

opera to a conceasir or informat	ion difficult it displays a valid on	D GOTHLOT HAMBOT.	
Application Number	10/520,299	ME	ÎC~.
Filing Date	7/9/03		CEIVE
First Named Inventor	Rupp	~ 1	1.2
Art Unit	N/A		VOV .
Examiner Name	N/A	•	2006
Attorney Docket Number	HYS-B2CIP/US	Into Lena	
		HAII 41	Staff

	Staff
Enclosed is a petition filed under 37 CFR 1.182 that (g), or (h)). Payment of \$_400 is enclosed. This form should be included with the above-mentioned petition and faxed (e.g., Mail Stop Petition), if applicable. For transmittal of processing fees un	or mailed to the Office using the appropriate Mail Stop
Enclose a duplicative copy of this form for fee processing.	· ·
Payment by credit card (Form PTO-2038 or equivalent enclose	ed). Do not provide credit card information on this form.
Petition Fees under 37 CFR 1.17(f): Fee \$400 Fee Code 146 For petitions filed under: § 1.36(a) - for revocation of a power of attorney by fewer than all applicants § 1.53(e) - to accord a filing date. § 1.57(a) - to accord a filing date. § 1.182 - for decision on a question not specifically provided for. § 1.183 - to suspend the rules. § 1.378(e) - for reconsideration of decision on petition refusing to accept delayed payr § 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a page 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a page 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a page 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a page 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a page 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a page 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a page 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a page 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a page 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a page 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a page 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a page 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a page 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a page 1.741(b) - to accord a filing date to an application under § 1.741(b) - to accord a filing date to an application under § 1.741(b) - to accord a filing date to an application under § 1.741(b) - to accord a filing date to an application under § 1.741(b) - to accord a filing date to an application under § 1.741(b) - to accord a filing date to	nent of maintenance fee in an expired patent.
Petition Fees under 37 CFR 1.17(g): Fee \$200 Fee Code 14 For petitions filed under: § 1.12 - for access to an assignment record. § 1.14 - for access to an application. § 1.47 - for filing by other than all the inventors or a person not the inventor. § 1.59 - for expungement of information. § 1.103(a) - to suspend action in an application. § 1.138(b) - for review of a request for extension of time when the provisions of section § 1.295 - for review of refusal to publish a statutory invention registration. § 1.296 - to withdraw a request for publication of a statutory invention registration filed § 1.377 - for review of decision refusing to accept and record payment of a maintenan § 1.550(c) - for patent owner requests for extension of time in exparte reexamination § 1.956 - for patent owner requests for extension of time in interpartes reexamination § 5.12 - for expedited handling of a foreign filing license. § 5.15 - for changing the scope of a license. § 5.25 - for retroactive license.	n 1.136(a) are not available.  I on or after the date the notice of intent to publish issued. ce fee filed prior to expiration of a patent. proceedings.
Petition Fees under 37 CFR 1.17(h): Fee \$130 Fee Code 14 For petitions filed under: § 1.19(g) - to request documents in a form other than that provided in this part. § 1.84 - for accepting color drawings or photographs. § 1.91 - for entry of a model or exhibit. § 1.102(d) - to make an application special. § 1.138(c) - to expressly abandon an application to avoid publication. § 1.313 - to withdraw an application from issue. § 1.314 - to defer issuance of a patent.	164
Rence S. Polyotto Signature Rence Polisotto Ph. P.	November 1, 2006  Date
Renee Polizotto, Ph.D.  Typed or printed name	Egistration No., if applicable
ryped or printed riame	registration No., ii applicable

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17p (09-06)

Approved for use through 03/31/2007, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control numbers.

PETITION FEE	
Under 37 CFR 1.17(f), (g) & (h	1
TRANSMITTAL	

(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450

·			$\frown$
Application Number	10/520,299		CEIVEL
Filing Date	7/9/03		
First Named Inventor	Rupp	` 7 /	OV .
Art Unit	N/A		2006
Examiner Name	N/A	/.	
Attorney Docket Number	HYS-B2CIP/US	internation	taff
that requires a pr	ocessing fee /37 CEE	2 1 17(f)	<sup>UIVIS</sup> ion

Registration No., if applicable

Enclosed is a petition filed under 37 CFR 1.182 that re (g), or (h)). Payment of \$_400 is enclosed.  This form should be included with the above-mentioned petition and faxed or m (e.g., Mail Stop Petition), if applicable. For transmittal of processing fees under	nailed to the Office using the appropriate Mail Stop
Payment of Fees (small entity amounts are NOT available for the petition.  The Commissioner is hereby authorized to charge the following fee petition fee under 37 CFR 1.17(f), (g) or (h) any entition and petition fee processing.  Check in the amount of \$	ees to Deposit Account No. 501169 : deficiency of fees and credit of any overpayments
Payment by credit card (Form PTO-2038 or equivalent enclosed).	Do not provide credit card information on this form.
Petition Fees under 37 CFR 1.17(f): Fee \$400 Fee Code 1462 For petitions filed under:  § 1.36(a) - for revocation of a power of attorney by fewer than all applicants § 1.53(e) - to accord a filing date. § 1.57(a) - to accord a filing date. § 1.182 - for decision on a question not specifically provided for. § 1.183 - to suspend the rules. § 1.378(e) - for reconsideration of decision on petition refusing to accept delayed payment § 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a pater  Petition Fees under 37 CFR 1.17(g): Fee \$200 Fee Code 1463 For petitions filed under: § 1.12 - for access to an assignment record. § 1.14 - for access to an application. § 1.47 - for filing by other than all the inventors or a person not the inventor. § 1.59 - for expungement of information. § 1.103(a) - to suspend action in an application. § 1.103(a) - to suspend action in an application. § 1.295 - for review of refusal to publish a statutory invention registration. § 1.296 - to withdraw a request for publication of a statutory invention registration filed on § 1.377 - for review of decision refusing to accept and record payment of a maintenance f § 1.550(c) - for patent owner requests for extension of time in exparte reexamination prof § 1.956 - for patent owner requests for extension of time in inter partes reexamination prof § 5.15 - for changing the scope of a license. § 5.25 - for retroactive license.	136(a) are not available.  or after the date the notice of intent to publish issued. ee filed prior to expiration of a patent. eeedings.
Petition Fees under 37 CFR 1.17(h): Fee \$130 Fee Code 1464 For petitions filed under: § 1.19(g) - to request documents in a form other than that provided in this part. § 1.84 - for accepting color drawings or photographs. § 1.91 - for entry of a model or exhibit. § 1.102(d) - to make an application special. § 1.138(c) - to expressly abandon an application to avoid publication. § 1.313 - to withdraw an application from issue. § 1.314 - to defer issuance of a patent.	
Rence S. Polyato Gignature	November 1, 2006  Date
Renee Polizotto. Ph D	53474

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name



- 1 NOV 2006

PATENT Docket No. HYS-B2CIP/US Express Mail Certificate No. EV 741 236 402 US

Legal Staff <u>International Divisi</u>

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Rupp, et al.

Serial No:

10/520,299

I.A. Serial No.

PCT/US03/21703

I.A. Filing Date:

07/09/2003

For:

**METHODS AND** 

MATERIALS RELATING

TO NOVEL NUCLEIC

ACIDS AND POLYPEPTIDES

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that this correspondence and any documents referred to as being enclosed therewith are being deposited with the U.S. Postal Service with sufficient postage as "Express Mail Post Office to Addressee" under Express Mail Label No. EV 741 236 402 US in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date: November 01, 2006

Person signing Certificate: Melissa K. Sweezey

Signature of Person

### **RENEWED PETITION UNDER 37 CFR 1.47(a)**

Mail Stop PCT
Commissioner for Patents
Office of PCT Legal Administration
P.O. Box 1450
Arlington, VA 22313-1450

Dear Sir:

This Renewed Petition under 37 CFR 1.47(a) is in response to the Decision dated August 01, 2006. Applicants have obtained a current address for inventor Tom Wehrman and have attempted to obtain his signature for the Declaration, Power of Attorney and Assignment documents for the above-identified application.

Applicants contacted Mr. Wehrman by phone on September 18, 2006 at his current place of work, DiscoveRx Corporation in Fremont, CA. We were told by Mr. Wehrman to send the documents to his work address: DiscoveRx Corporation, 42501 Albrae Street, Fremont, CA 94538. Mr. Wehrman did not give Applicants his home address; instead he wished to receive his mail at his work address. Applicants sent Mr. Wehrman a copy of the patent application and sequence listing with a request to execute the Declaration, Power of Attorney and Assignment documents on September 18, 2006. A copy of the letter transmitting the documents is enclosed herewith as Exhibit A.

The documents were sent to Mr. Wehrman via FedEx as evidenced by Exhibit B.

The package was signed for receipt of these documents on September 19, 2006 at 9:13

AM as evidenced by Exhibit C.

Mr. Wehrman has not returned the signed documents nor has he responded to email and phone requests by Applicants' representative for return of the documents as evidenced by Exhibit D.

Applicants respectfully request the Commissioner to declare Mr. Wehrman an uncooperative inventor and allow the remaining inventors to make the application by themselves and the nonsigning inventor. Furthermore, Applicants respectfully request the Commissioner to accept Mr. Wehrman's Fremont, CA address listed above as his address of record where he customarily receives mail.

Since this paper is a request for reconsideration, no additional fee is required. However, the Commissioner is authorized to charge any additional fees, or credit any overpayment, to the Nuvelo, Inc. deposit account no. 50-1169. A duplicate copy of this paper is submitted.

Respectfully submitted,

Date: November 01, 2006

Renée Polizotto, Ph.D.

Agent for Applicants Reg. No. 53,474

Customer No. 34285

Nuvelo, Inc.

201 Industrial Road, Suite 310

San Carlos, CA 94070 Tel.: (650) 517.8422

Fax: (650) 571.8003

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Rupp, et al.

Serial No:

10/520,299

I.A. Serial No.

PCT/US03/21703

I.A. Filing Date:

07/09/2003

For:

**METHODS AND** 

MATERIALS RELATING

TO NOVEL NUCLEIC

ACIDS AND POLYPEPTIDES

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that this correspondence and any documents referred to as being enclosed therewith are being deposited with the U.S. Postal Service with sufficient postage as "Express Mail Post Office to Addressee" under Express Mail Label No. EV 741 236 402 US in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date: November 01, 2006

Person signing Certificate: Melissa K. Sweezey

Signature of Person

## **RENEWED PETITION UNDER 37 CFR 1.47(a)**

Mail Stop PCT
Commissioner for Patents
Office of PCT Legal Administration
P.O. Box 1450
Arlington, VA 22313-1450

#### Dear Sir:

This Renewed Petition under 37 CFR 1.47(a) is in response to the Decision dated August 01, 2006. Applicants have obtained a current address for inventor Tom Wehrman and have attempted to obtain his signature for the Declaration, Power of Attorney and Assignment documents for the above-identified application.

Applicants contacted Mr. Wehrman by phone on September 18, 2006 at his current place of work, DiscoveRx Corporation in Fremont, CA. We were told by Mr. Wehrman to send the documents to his work address: DiscoveRx Corporation, 42501 Albrae Street, Fremont, CA 94538. Mr. Wehrman did not give Applicants his home address; instead he wished to receive his mail at his work address. Applicants sent Mr. Wehrman a copy of the patent application and sequence listing with a request to execute the Declaration, Power of Attorney and Assignment documents on September 18, 2006. A copy of the letter transmitting the documents is enclosed herewith as Exhibit A.

The documents were sent to Mr. Wehrman via FedEx as evidenced by Exhibit B. The package was signed for receipt of these documents on September 19, 2006 at 9:13 AM as evidenced by Exhibit C.

Mr. Wehrman has not returned the signed documents nor has he responded to email and phone requests by Applicants' representative for return of the documents as evidenced by Exhibit D.

Applicants respectfully request the Commissioner to declare Mr. Wehrman an uncooperative inventor and allow the remaining inventors to make the application by themselves and the nonsigning inventor. Furthermore, Applicants respectfully request the Commissioner to accept Mr. Wehrman's Fremont, CA address listed above as his address of record where he customarily receives mail.

Since this paper is a request for reconsideration, no additional fee is required. However, the Commissioner is authorized to charge any additional fees, or credit any overpayment, to the Nuvelo, Inc. deposit account no. 50-1169. A duplicate copy of this paper is submitted.

Respectfully submitted,

Date: November 01, 2006

Renée Polizotto, Ph.D.

Agent for Applicants

Reg. No. 53,474 Customer No. 34285

Nuvelo, Inc.

201 Industrial Road, Suite 310

San Carlos, CA 94070 Tel.: (650) 517.8422

Fax: (650) 571.8003

# Best Available Copy

The et the Exp

ACKING: Visit WWW.LUSPS.COM or Call 1-800-222-1811

3

© USPS 1995

FROM: (PLEASE PRINT)

် တ က

517 JOGG

TO: (PLEASE PRINT)

MAIL STOP POTTY IN A SATERTS FOR SATERTS

ENPRESSION A

Acceptance Emp. Initials

ONESD

0 1 2006

Total Postage & Fees

MUYELL INC.

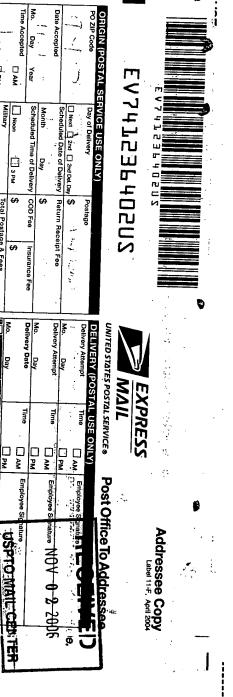


www.jusps.co

UNITED STATES POSTAL SERVICE .

**EXPRESS** 

CORPORATE ACCO POSTAGE AND FEES PAID



CALL 1-800-222-1811 FOR PICKUP OR TRACKING OF ALL YOUR PACKAGES